

*The PSE makes no representation on the accuracy, validity, correctness and completeness of the information stated in the respective PORs of listed companies. The PSE shall use the information contained in the POR submitted by the company in computing a company's weight in the index and this may be updated or adjusted consistent with the policy of the Exchange in managing the PSEi and sector indices.*

## COL Financial Group, Inc. COL

### PSE Disclosure Form POR-1 - Public Ownership Report *Reference: Amended Rule on Minimum Public Ownership*

#### Report Type

Monthly  
Quarterly  
Others

Report Date	Mar 31, 2019
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#### Computation of Public Ownership

Number of Issued and Outstanding Common Shares	476,000,000
Less: Number of Treasury Common Shares, if any	0
Number of Outstanding Common Shares	476,000,000

#### Less :

#### A. Directors

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Edward K. Lee	92,567,800	8,259,100	100,826,900	21.18

Alexander C. Yu	55,260,725	9,465,800	64,726,525	13.6
Conrado F. Bate	15,584,059	4,311,900	19,895,959	4.18
Hernan G. Lim	13,533,785	3,988,800	17,522,585	3.68
Raymond C. Yu	13,670,540	8,653,400	22,323,940	4.69
Wellington Yu	10,000	0	10,000	0
Kido Hokushin	1	0	1	0
Khoo Boo Boon	3,000	0	3,000	0
Paulwell Han	37,599,975	0	37,599,975	7.9
Arthur Gerard D. Gindap	100	0	100	0
Catherine L. Ong	4,442,800	5,800,000	10,242,800	2.15
	232,672,785	40,479,000	273,151,785	57.38

#### B. Officers

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Juan G. Barredo	1,100,050	0	1,100,050	0.23
Nikos J. Bautista	1,160,200	0	1,160,200	0.24
Lorena E. Velarde	400,000	0	400,000	0.08
April Lynn L. Tan	1,508,000	0	1,508,000	0.32
Melissa O. Ng	188,750	7,000	195,750	0.04
Sharon T. Lim	750	8,500	9,250	0
Edmund Daniel P. Martinez	3,000	0	3,000	0
Gabriel Jose E. Mendiola	210,000	4,122,500	4,332,500	0.91
Joyce C. Chan	0	402,500	402,500	0.08
Stephanie Faye B. Reyes	0	0	0	0
	4,570,750	4,540,500	9,111,250	1.9

#### C. Principal/Substantial Stockholders

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Daiwa Securities Group, Inc.	70,924,000	0	70,924,000	14.9
	70,924,000	0	70,924,000	14.9

#### D. Affiliates

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
0	0	0	0	0
	0	0	0	0

#### E. Government

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
0	0	0	0	0
	0	0	0	0

#### F. Banks

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
0	0	0	0	0

	0	0	0	0
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**G. Employees**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
0	0	0	0	0
	0	0	0	0

**H. Lock-Up Shares**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
0	0	0	0	0
	0	0	0	0

**I. Others**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
0	0	0	0	0
	0	0	0	0

<b>Number of Listed Common Shares</b>	476,000,000
<b>Total Number of Non-Public Shares</b>	353,187,035
<b>Total Number of Shares Owned by the Public</b>	122,812,965
<b>Public Ownership Percentage</b>	25.80

Other Relevant Information
None

**Filed on behalf by:**

<b>Name</b>	Sharon Lim
<b>Designation</b>	AVP - Head of HR, Legal & Compliance Departments

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## COL Financial Group, Inc. COL

### PSE Disclosure Form POR-1 - Public Ownership Report *Reference: Amended Rule on Minimum Public Ownership*

#### Report Type

Monthly  
Quarterly  
Others

<b>Report Date</b>	Jun 30, 2019
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#### Computation of Public Ownership

<b>Number of Issued and Outstanding Common Shares</b>	476,000,000
<b>Less: Number of Treasury Common Shares, if any</b>	0
<b>Number of Outstanding Common Shares</b>	476,000,000

#### Less :

#### A. Directors

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Edward K. Lee	92,859,800	8,259,100	101,118,900	21.24

Alexander C. Yu	55,260,725	11,867,700	67,128,425	14.1
Conrado F. Bate	16,394,059	4,311,900	20,705,959	4.35
Hernan G. Lim	13,533,785	3,988,800	17,522,585	3.68
Raymond C. Yu	13,670,540	8,653,400	22,323,940	4.69
Wellington Yu	10,000	0	10,000	0
Kido Hokushin	1	0	1	0
Khoo Boo Boon	3,000	0	3,000	0
Paulwell Han	37,599,975	0	37,599,975	7.9
Arthur Gerard Gindap	100	0	100	0
Catherine L. Ong	4,442,800	5,800,000	10,242,800	2.15
	233,774,785	42,880,900	276,655,685	58.11

#### B. Officers

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Juan G. Barredo	1,200,050	0	1,200,050	0.25
Nikos J. Bautista	1,160,200	0	1,160,200	0.24
Lorena E. Velarde	650,000	0	650,000	0.14
April Lynn L. Tan	1,708,000	0	1,708,000	0.36
Melissa O. Ng	258,750	7,000	265,750	0.06
Sharon T. Lim	70,750	8,500	79,250	0.02
Edmund Daniel P. Martinez	3,000	0	3,000	0
Gabriel Jose E. Mendiola	210,000	4,122,500	4,332,500	0.91
Joyce C. Chan	0	402,500	402,500	0.08
Stephanie Faye B. Reyes	0	0	0	0
	5,260,750	4,540,500	9,801,250	2.06

#### C. Principal/Substantial Stockholders

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Daiwa Securities Group, Inc.	70,924,000	0	70,924,000	14.9
	70,924,000	0	70,924,000	14.9

#### D. Affiliates

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
0	0	0	0	0
	0	0	0	0

#### E. Government

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
0	0	0	0	0
	0	0	0	0

#### F. Banks

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
0	0	0	0	0

	0	0	0	0
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**G. Employees**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
0	0	0	0	0
	0	0	0	0

**H. Lock-Up Shares**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
0	0	0	0	0
	0	0	0	0

**I. Others**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
0	0	0	0	0
	0	0	0	0

<b>Number of Listed Common Shares</b>	476,000,000
<b>Total Number of Non-Public Shares</b>	357,380,935
<b>Total Number of Shares Owned by the Public</b>	118,619,065
<b>Public Ownership Percentage</b>	24.92%

Other Relevant Information
NONE

**Filed on behalf by:**

<b>Name</b>	Sharon Lim
<b>Designation</b>	AVP - Head of HR, Legal & Compliance Departments

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## COL Financial Group, Inc. COL

### PSE Disclosure Form POR-1 - Public Ownership Report *Reference: Amended Rule on Minimum Public Ownership*

#### Report Type

Monthly  
Quarterly  
Others

Report Date	Sep 30, 2019
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#### Computation of Public Ownership

Number of Issued and Outstanding Common Shares	476,000,000
Less: Number of Treasury Common Shares, if any	0
Number of Outstanding Common Shares	476,000,000

#### Less :

##### A. Directors

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Edward K. Lee	92,948,300	10,260,300	103,208,600	21.68

Alexander C. Yu	54,960,725	11,876,600	66,837,325	14.04
Conrado F. Bate	16,394,059	4,311,900	20,705,959	4.35
Hernan G. Lim	13,533,785	3,988,800	17,522,585	3.68
Raymond C. Yu	13,670,540	8,653,400	22,323,940	4.69
Wellington Yu	10,000	0	10,000	0
Kido Hokushin	1	0	1	0
Khoo Boo Boon	3,000	0	3,000	0
Paulwell Han	37,599,975	0	37,599,975	7.9
Arthur Gerard D. Gindap	100	0	100	0
Catherine L. Ong	4,442,800	5,800,000	10,242,800	2.15
	233,563,285	44,891,000	278,454,285	58.49

#### B. Officers

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Juan G. Barredo	1,200,050	0	1,200,050	0.25
Nikos J. Bautista	1,160,200	0	1,160,200	0.24
Lorena E. Velarde	650,000	0	650,000	0.14
April Lynn L. Tan	1,708,000	0	1,708,000	0.36
Melissa O. Ng	258,750	7,000	265,750	0.06
Sharon T. Lim	70,750	8,500	79,250	0.02
Edmund Daniel P. Martinez	3,000	0	3,000	0
Gabriel Jose E. Mendiola	210,000	4,122,500	4,332,500	0.91
Joyce C. Chan	0	402,500	402,500	0.08
Stephanie Faye B. Reyes	0	0	0	0
	5,260,750	4,540,500	9,801,250	2.06

#### C. Principal/Substantial Stockholders

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Daiwa Securities Group, Inc.	70,924,000	0	70,924,000	14.9
	70,924,000	0	70,924,000	14.9

#### D. Affiliates

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### E. Government

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### F. Banks

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-



	0	0	0	0
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#### G. Employees

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### H. Lock-Up Shares

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### I. Others

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

<b>Number of Listed Common Shares</b>	476,000,000
<b>Total Number of Non-Public Shares</b>	359,179,535
<b>Total Number of Shares Owned by the Public</b>	116,820,465
<b>Public Ownership Percentage</b>	24.54%

Other Relevant Information
NONE

#### Filed on behalf by:

<b>Name</b>	Sharon Lim
<b>Designation</b>	AVP - Head of HR, Legal & Compliance Departments

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## COL Financial Group, Inc. COL

### PSE Disclosure Form POR-1 - Public Ownership Report *Reference: Amended Rule on Minimum Public Ownership*

#### Report Type

Monthly  
Quarterly  
Others

<b>Report Date</b>	Dec 31, 2019
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#### Computation of Public Ownership

<b>Number of Issued and Outstanding Common Shares</b>	476,000,000
<b>Less: Number of Treasury Common Shares, if any</b>	0
<b>Number of Outstanding Common Shares</b>	476,000,000

#### Less :

#### A. Directors

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Edward K. Lee	92,948,300	10,260,300	103,208,600	21.68

Alexander C. Yu	55,010,725	11,876,600	66,887,325	14.05
Conrado F. Bate	16,394,059	4,311,900	20,705,959	4.35
Hernan G. Lim	13,533,785	3,988,800	17,522,585	3.68
Raymond C. Yu	13,670,540	8,653,400	22,323,940	4.69
Wellington Yu	10,000	0	10,000	0
Kido Hokushin	1	0	1	0
Khoo Boo Boon	3,000	0	3,000	0
Paulwell Han	37,599,975	0	37,599,975	7.9
Arthur Gerard D. Gindap	100	0	100	0
Catherine L. Ong	4,442,800	5,800,000	10,242,800	2.15
	233,613,285	44,891,000	278,504,285	58.5

#### B. Officers

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Juan G. Barredo	1,100,050	0	1,100,050	0.23
Nikos J. Bautista	1,160,200	0	1,160,200	0.24
Lorena E. Velarde	650,000	0	650,000	0.14
April Lynn L. Tan	1,708,000	0	1,708,000	0.36
Melissa O. Ng	258,750	7,000	265,750	0.06
Sharon T. Lim	70,750	8,500	79,250	0.02
Edmund Daniel P. Martinez	3,000	0	3,000	0
Gabriel Jose E. Mendiola	210,000	4,122,500	4,332,500	0.91
Joyce C. Chan	0	402,500	402,500	0.08
Stephanie Faye B. Reyes	0	0	0	0
	5,160,750	4,540,500	9,701,250	2.04

#### C. Principal/Substantial Stockholders

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
Daiwa Securities Group, Inc.	70,924,000	0	70,924,000	14.9
	70,924,000	0	70,924,000	14.9

#### D. Affiliates

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### E. Government

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

#### F. Banks

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-

	0	0	0	0
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**G. Employees**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

**H. Lock-Up Shares**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

**I. Others**

Name	Direct	Indirect	Total direct & indirect shares	% to Total Outstanding Shares
-	-	-	-	-
	0	0	0	0

<b>Number of Listed Common Shares</b>	476,000,000
<b>Total Number of Non-Public Shares</b>	359,129,535
<b>Total Number of Shares Owned by the Public</b>	116,870,465
<b>Public Ownership Percentage</b>	24.55%

Other Relevant Information
NONE

**Filed on behalf by:**

<b>Name</b>	Sharon Lim
<b>Designation</b>	AVP - Head of HR, Legal & Compliance Departments